
STATUTORY INSTRUMENTS

2010 No. 675

**The Environmental Permitting
(England and Wales) Regulations 2010**

PART 2

Environmental Permits

CHAPTER 1

Application to the Crown and requirement for an environmental permit

Requirement for environmental permit

12.—(1) A person must not, except under and to the extent authorised by an environmental permit—

- (a) operate a regulated facility; or
- (b) cause or knowingly permit a water discharge activity or groundwater activity.

(2) Paragraph (1)(b) does not apply if the water discharge activity or groundwater activity is an exempt facility.

[^{F1}(3) In respect of a radioactive substances activity, paragraph (1) does not apply to a person to whom a radioactive substances exemption applies for that activity.

(4) Paragraph (5) applies to a person (“A”) who—

- (a) receives radioactive waste from another person (“B”) for the purposes of A disposing of that waste; and
- (b) subsequently disposes of that waste.

(5) Where this paragraph applies, A does not require an environmental permit—

- (a) for the receipt of waste from B, where B holds an environmental permit which allows B to dispose of the waste to A; or
- (b) for the subsequent disposal of that waste by A, where the waste is disposed of in accordance with the permit held by B.]

F1 [Reg. 12\(3\)-\(5\) substituted for reg. 12\(3\) \(1.10.2011\) by The Environmental Permitting \(England and Wales\) \(Amendment\) Regulations 2011 \(S.I. 2011/2043\), regs. 1\(b\), 6](#)

STATUTORY INSTRUMENTS

2010 No. 675

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PART 4

Enforcement and offences

Offences

38.—(1) It is an offence for a person to—

- (a) contravene regulation 12(1); or
- (b) knowingly cause or knowingly permit the contravention of regulation 12(1)(a).

(2) It is an offence for a person to fail to comply with or to contravene an environmental permit condition.

(3) It is an offence for a person to fail to comply with the requirements of an enforcement notice or of a prohibition notice, suspension notice, landfill closure notice or mining waste facility closure notice.

(4) It is an offence for a person—

- (a) to fail to comply with a notice under regulation 60(1) requiring the provision of information, without reasonable excuse;
- (b) to make a statement which the person knows to be false or misleading in a material particular, or recklessly to make a statement which is false or misleading in a material particular, where the statement is made—
 - (i) in purported compliance with a requirement to provide information imposed by or under a provision of these Regulations,
 - (ii) for the purpose of obtaining the grant of an environmental permit to any person, or the variation, transfer in whole or in part, or surrender in whole or in part of an environmental permit, or
 - (iii) for the purpose of obtaining, renewing or amending the registration of an exempt facility;
- (c) intentionally to make a false entry in a record required to be kept under an environmental permit condition;
- (d) with intent to deceive—
 - (i) to forge or use a document issued or authorised to be issued or required for any purpose under an environmental permit condition, or
 - (ii) to make or have in the person's possession a document so closely resembling such a document as to be likely to deceive.

(5) It is an offence for an establishment or undertaking to—

- (a) fail to comply with paragraph 14(3) or (4) of Schedule 2; or

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(b) intentionally make a false entry in a record required to be kept under that paragraph.

(6) If an offence committed by a person under this regulation is due to the act or default of some other person, that other person is also guilty of the offence and liable to be proceeded against and punished accordingly, whether or not proceedings for the offence are taken against the first-mentioned person.

SCHEDULE 21

Regulation 35(2)(o)

Water discharge activities

Application

1. This Schedule applies in relation to every water discharge activity.

Interpretation

2. In this Schedule—
 - “discharging sewer” means the sewer or works from which sewage effluent is discharged;
 - “discharging undertaker” means the sewerage undertaker in which a discharging sewer is vested;
 - “main connection” has the same meaning as in section 110A of the Water Industry Act 1991 ^{F1};
 - “pipe” has the same meaning as in the 1991 Act;
 - “sending undertaker” means a sewerage undertaker which discharges sewage effluent into the discharging sewer or other sewer or works vested in the discharging undertaker; and
 - “waste” in the term “waste matter” includes—
 - (a) anything that is waste for the purposes of the Waste Framework Directive and is not excluded from the scope of that Directive by Article 2(1) [^{F2}, (2) or (3)] of that Directive,
 - (b) anything that is waste for the purposes of the Mining Waste Directive and is not excluded from the scope of that Directive by Article 2(2) of that Directive.

F1 Section 110A was inserted by the [Competition and Service \(Utilities\) Act 1992 \(c. 43\)](#), [section 45](#) and amended by the [Water Act 2003 \(c. 37\)](#), [section 36\(2\)](#) and the [Environment Act 1995 \(c. 25\)](#), [section 120](#) and [Schedule 22](#), paragraph 104.

F2 Words in Sch. 21 para. 2 inserted (29.3.2011) by [The Waste \(England and Wales\) Regulations 2011 \(S.I. 2011/988\)](#), [reg. 1\(2\)](#), [Sch. 3 para. 13](#) (with [regs. 2, 47\(2\)](#))

Meaning of “water discharge activity”

- 3.—(1) A “water discharge activity” means any of the following—
 - (a) the discharge or entry to inland freshwaters, coastal waters or relevant territorial waters of any—
 - (i) poisonous, noxious or polluting matter,
 - (ii) waste matter, or
 - (iii) trade effluent or sewage effluent;
 - (b) the discharge from land through a pipe into the sea outside the seaward limits of relevant territorial waters of any trade effluent or sewage effluent;
 - (c) the removal from any part of the bottom, channel or bed of any inland freshwaters of a deposit accumulated by reason of any dam, weir or sluice holding back the waters, by causing it to be carried away in suspension in the waters, unless the activity is carried on in the exercise of a power conferred by or under any enactment relating to land drainage, flood prevention or navigation;

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- (d) the cutting or uprooting of a substantial amount of vegetation in any inland freshwaters or so near to any such waters that it falls into them and failure to take reasonable steps to remove the vegetation from these waters;
 - (e) an activity in respect of which a notice under paragraph 4 or 5 has been served and has taken effect.
- (2) A discharge or an activity that might lead to a discharge is not a “water discharge activity”—
- (a) if the discharge is made, or authorised to be made, by or under any prescribed statutory provision; or
 - (b) if the discharge is of trade effluent or sewage effluent from a vessel.
- (3) In determining whether a discharge or an activity is a water discharge activity, no account must be taken of any radioactivity possessed by any substance or article or by any part of any premises.

Highway drains – notice requiring environmental permit

- 4.—(1) This paragraph applies where—
- (a) a person is operating a highway drain; and
 - (b) that activity might lead to a discharge mentioned in paragraph 3(1)(a) or (b).
- (2) The regulator may serve a notice on the person operating the highway drain requiring the person, from the date the notice takes effect, to hold an environmental permit authorising the carrying on of that activity.
- (3) A notice under this paragraph takes effect on the date specified in it, which must be at least 6 months after it is served.

Discharge of trade effluent or sewage effluent into lake or pond – notice requiring environmental permit

- 5.—(1) The regulator may serve a notice on a person who discharges trade effluent or sewage effluent into the waters of any lake or pond which are not inland freshwaters requiring the person, from the date the notice takes effect, to hold an environmental permit authorising the carrying on of that activity.
- (2) A notice under this paragraph takes effect on the date specified in it, which must be at least 3 months after it is served.

Liability resulting from discharge of sewage effluent from public sewer

- 6.—(1) This paragraph applies for the purpose of determining liability for a water discharge activity that consists of a discharge of sewage effluent from a discharging sewer vested in a discharging undertaker.
- (2) A discharging undertaker causes a discharge of sewage effluent if—
- (a) matter included in the discharge is received by the discharging undertaker into the discharging sewer or into any other sewer or works vested in it;
 - (b) the discharging undertaker was bound (either unconditionally or subject to conditions which were observed) to receive the matter into the discharging sewer or other sewer or works; and
 - (c) sub-paragraph (3) does not apply.
- (3) This sub-paragraph applies if, before the discharging undertaker discharges the sewage effluent from the discharging sewer, the sending undertaker, under an agreement with the discharging

undertaker under section 110A of the Water Industry Act 1991, discharges the sewage effluent through a main connection into—

- (a) the discharging sewer; or
- (b) any other sewer or works vested in the discharging undertaker.

(4) If sub-paragraph (3) applies, the sending undertaker causes the discharge if—

- (a) matter included in the discharge is received by the sending undertaker into a sewer or works vested in it; and
- (b) it was bound (either conditionally or subject to conditions which were observed) to receive that matter into that sewer or works.

(5) A sewerage undertaker is not guilty of an offence under regulation 38(1) in relation to a water discharge activity that consists of a discharge of sewage effluent from a sewer or works vested in it if—

- (a) the contravention is attributable to a discharge which another person caused or knowingly permitted to be made into the sewer or works;
- (b) the undertaker either was not bound to receive the discharge into the sewer or works or was bound to receive it there subject to conditions which were not observed; and
- (c) the undertaker could not reasonably have been expected to prevent the discharge into the sewer or works.

(6) A person is not guilty of an offence under regulation 38(1) in relation to a discharge which the person caused or knowingly permitted to be made into a sewer or works vested in a sewerage undertaker if that undertaker was bound to receive the discharge, either unconditionally or subject to conditions which were observed.

SCHEDULE 22

Regulation 35(2)(p)

Groundwater activities

Application

1. This Schedule applies in relation to every groundwater activity.

Interpretation

2. In this Schedule—

“body of groundwater” has the same meaning as in the Water Framework Directive;

“direct input” in relation to groundwater means the introduction of a pollutant to groundwater without percolation through soil or subsoil;

“Groundwater Daughter Directive” means Directive [2006/118/EC](#) of the European Parliament and of the Council on the protection of groundwater against pollution and deterioration ^{F1};

“Groundwater Directive” means Council Directive [80/68/EEC](#) on the protection of groundwater against pollution caused by certain dangerous substances ^{F2};

“indirect input” in relation to groundwater means the introduction of a pollutant to groundwater after percolation through soil or subsoil; and

“surface waters” has the same meaning as in the Water Framework Directive.

F1 OJ No L 372, 27.12.2006, p 19.

F2 OJ No L 20, 26.1.1980, p 43.

Meaning of “groundwater activity”

3.—(1) Subject to sub-paragraphs (2) and (3), “groundwater activity” means any of the following—

- (a) the discharge of a pollutant that results in the direct input of that pollutant to groundwater;
- (b) the discharge of a pollutant in circumstances that might lead to an indirect input of that pollutant to groundwater;
- (c) any other discharge that might lead to the direct or indirect input of a pollutant to groundwater;
- (d) an activity in respect of which a notice under paragraph 10 has been served and has taken effect;
- (e) an activity that might lead to a discharge mentioned in paragraph (a), (b) or (c), where that activity is carried on as part of the operation of a regulated facility of another class.

(2) A discharge or an activity that might lead to a discharge is not a “groundwater activity” if the discharge is—

- (a) made, or authorised to be made, by or under any prescribed statutory provision; or
- (b) of trade effluent or sewage effluent from a vessel.

(3) The regulator may determine that a discharge, or an activity that might lead to a discharge, is not a groundwater activity if the input of the pollutant—

- (a) is the consequence of an accident or exceptional circumstances of natural cause that could not reasonably have been foreseen, avoided or mitigated;

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- (b) is or would be of a quantity and concentration so small as to obviate any present or future danger of deterioration in the quality of the receiving groundwater; or
- (c) is or would be incapable, for technical reasons, of being prevented or limited without using—
 - (i) measures that would increase risks to human health or to the quality of the environment as a whole, or
 - (ii) disproportionately costly measures to remove quantities of pollutants from, or otherwise control their percolation in, contaminated ground or subsoil.
- (4) The regulator must keep a record of all determinations under sub-paragraph (3).

Meaning of “hazardous substance”

4.—(1) A hazardous substance is any substance or group of substances that are toxic, persistent and liable to bio-accumulate.

(2) This includes in particular the following when they are toxic, persistent and liable to bio-accumulate—

- (a) organohalogen compounds and substances which may form such compounds in the aquatic environment,
 - (b) organophosphorous compounds,
 - (c) organotin compounds,
 - (d) substances and preparations, or the breakdown products of such, which have been proved to possess carcinogenic or mutagenic properties or properties which may affect steroidogenic, thyroid, reproduction or other endocrine-related functions in or via the aquatic environment,
 - (e) persistent hydrocarbons and persistent and bioaccumulable organic toxic substances,
 - (f) cyanides,
 - (g) metals (in particular, cadmium and mercury) and their compounds,
 - (h) arsenic and its compounds,
 - (i) biocides and plant protection products.
- (3) The regulator must publish a list of substances that it considers to be hazardous substances.

Meaning of “non-hazardous pollutant”

5. A non-hazardous pollutant is any pollutant other than a hazardous substance.

Exercise of relevant functions

6. For the purposes of implementing the Groundwater Directive, the Water Framework Directive and the Groundwater Daughter Directive, the regulator must, in exercising its relevant functions, take all necessary measures—

- (a) to prevent the input of any hazardous substance to groundwater; and
- (b) to limit the input of non-hazardous pollutants to groundwater so as to ensure that such inputs do not cause pollution of groundwater.

Applications for grant of environmental permit

7.—(1) This paragraph applies to an application for the grant of an environmental permit relating to—

- (a) a discharge mentioned in paragraph 3(1)(a), (b) or (c); or
 - (b) an activity that might lead to such a discharge.
- (2) When the regulator receives an application, it must ensure that all necessary investigations have been carried out to ensure that it grants any permit in accordance with paragraph 6.
- (3) If it grants the permit, it must include conditions requiring all necessary technical precautions to be observed to ensure the objectives of paragraph 6 are achieved.
- (4) A permit may not be granted—
- (a) without examination of—
 - (i) the hydrogeological conditions of the area concerned,
 - (ii) the possible purifying powers of the soil and subsoil, and
 - (iii) the risk of pollution and alteration of the quality of the groundwater from the discharge, and
 - (b) without establishing whether the input of pollutants to groundwater is a satisfactory solution from the point of view of the environment.
- (5) A permit may only be granted if the regulator has checked that the groundwater (and, in particular, its quality) will undergo the requisite surveillance.

Groundwater activities for which a permit may be granted

8. Despite paragraph 6, provided it does not compromise the achievement of any of the environmental objectives relating to groundwater in Article 4 of the Water Framework Directive, the regulator may grant an environmental permit for—

- (a) the injection of water containing substances resulting from the operations for exploration and extraction of hydrocarbons or mining activities, and injection of water for technical reasons, into geological formations from which hydrocarbons or other substances have been extracted or into geological formations which for natural reasons are permanently unsuitable for other purposes, provided that the injection does not contain substances other than those resulting from the above operations,
- (b) the reinjection of pumped groundwater from mines and quarries or associated with the construction or maintenance of civil engineering works,
- (c) the injection of natural gas or liquefied petroleum gas for storage purposes into geological formations which for natural reasons are permanently unsuitable for other purposes,
- [^{F3}(ca) the injection of carbon dioxide streams for storage purposes into geological formations which for natural reasons are permanently unsuitable for other purposes, provided that such injection is made in accordance with Directive 2009/31/EC of the European Parliament and of the Council on the geological storage of carbon dioxide, or excluded from the scope of that Directive pursuant to Article 2(2) of that Directive,]
- (d) the injection of natural gas or liquefied petroleum gas for storage purposes into other geological formations where there is an overriding need for security of gas supply, and where the injection is such as to prevent any present or future danger of deterioration in the quality of any receiving groundwater,
- (e) construction, civil engineering and building works and similar activities on or in the ground which come into contact with groundwater,
- (f) discharges of small quantities of substances for scientific purposes for characterisation, protection or remediation of bodies of water limited to the amount strictly necessary for the purposes concerned,

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- (g) interventions in surface waters for the purposes, amongst others, of mitigating the effects of floods and droughts, and for the management of waters and waterways,
- (h) the artificial recharge or augmentation of a body of groundwater for the purposes of groundwater management,
- (i) reinjection into the same aquifer of water used for geothermal purposes.

F3 Sch. 22 para. 8(ca) inserted (12.8.2011) by [The Environmental Permitting \(England and Wales\) \(Amendment\) Regulations 2011 \(S.I. 2011/2043\)](#), regs. 1(b), **14**

Prohibition notice

9.—(1) This paragraph applies where—

- (a) any person is carrying on, or proposing to carry on, any activity on or in the ground; and
- (b) that activity might lead to a discharge mentioned in paragraph 3(1)(a), (b) or (c).

(2) The regulator may serve a notice on the person prohibiting the carrying on of the activity.

(3) The regulator may withdraw a prohibition notice at any time by further notice served on the person.

Notice requiring environmental permit

10.—(1) This paragraph applies where—

- (a) any person is carrying on, or proposing to carry on, any activity on or in the ground; and
- (b) that activity might lead to a discharge mentioned in paragraph 3(1)(a), (b) or (c).

(2) The regulator may serve a notice on the person requiring the person, from the date the notice takes effect, to hold an environmental permit authorising the carrying on of the activity.

(3) A notice under paragraph (1) takes effect on the date specified in it, but—

- (a) a notice served for the purpose of paragraph 11 must not take effect until at least 6 months after it is served; and
- (b) a notice served for the purpose of paragraph 12, or for any other purpose, must not take effect until at least 3 months after it is served.

Notice in relation to a highway drain

11. A highway authority or other person entitled to keep open a highway drain by virtue of section 100 of the 1980 Act who operates a highway drain other than under and in accordance with an environmental permit is not guilty of an offence under regulation 38(1) unless—

- (a) the regulator has served a notice on that person under paragraph 10 of this Schedule; and
- (b) the notice has taken effect.

Notice in relation to a domestic septic tank or sewage treatment plant

12. Before 1st January 2012, a person who, other than under and in accordance with an environmental permit, uses a septic tank or sewage treatment plant with a discharge of 2 cubic metres per day or less of sewage effluent that results in the input of pollutants to groundwater is not guilty of an offence under regulation 38(1) unless—

- (a) the regulator has served a notice on that person under paragraph 10 of this Schedule; and
- (b) the notice has taken effect.

Guidance

13.—(1) The appropriate authority may issue guidance to persons causing or liable to cause inputs of pollutants to groundwater with respect to the steps they must take to prevent or limit those pollutants from entering groundwater.

(2) The regulator must take into account whether or not such guidance is or is likely to be complied with before taking any enforcement action under these Regulations.

(3) Guidance must be publicised as the appropriate authority sees fit.

Liability resulting from discharge of sewage effluent from public sewer

14.—(1) This paragraph applies for the purpose of determining liability for a groundwater activity that consists of a discharge of sewage effluent from a discharging sewer vested in a discharging undertaker.

(2) A discharging undertaker causes a discharge of sewage effluent if—

(a) matter included in the discharge is received by the discharging undertaker into the discharging sewer or into any other sewer or works vested in it;

(b) the discharging undertaker was bound (either unconditionally or subject to conditions which were observed) to receive the matter into the discharging sewer or other sewer or works; and

(c) sub-paragraph (3) does not apply.

(3) This sub-paragraph applies if, before the discharging undertaker discharges the sewage effluent from the discharging sewer, the sending undertaker, under an agreement with the discharging undertaker under section 110A of the Water Industry Act 1991, discharges the sewage effluent through a main connection into—

(a) the discharging sewer; or

(b) any other sewer or works vested in the discharging undertaker.

(4) If sub-paragraph (3) applies, the sending undertaker causes the discharge if—

(a) matter included in the discharge was received by the sending undertaker into a sewer or works vested in it; and

(b) it was bound (either conditionally or subject to conditions which were observed) to receive that matter into that sewer or works.

(5) A sewerage undertaker is not guilty of an offence under regulation 38(1) in relation to a groundwater activity that consists of a discharge of sewage effluent from a sewer or works vested in it if—

(a) the contravention is attributable to a discharge which another person caused or knowingly permitted to be made into the sewer or works;

(b) the undertaker either was not bound to receive the discharge into the sewer or works or was bound to receive it there subject to conditions which were not observed; and

(c) the undertaker could not reasonably have been expected to prevent the discharge into the sewer or works.

(6) A person is not guilty of an offence under regulation 38(1) in relation to a discharge which the person caused or knowingly permitted to be made into a sewer or works vested in a sewerage undertaker if that undertaker was bound to receive the discharge, either unconditionally or subject to conditions which were observed.

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Changes and effects yet to be applied to :

- Regulations excluded by [S.I. 2016/853 art. 3\(1\)\(a\)](#)
- Regulations excluded by [S.I. 2016/880 art. 6\(2\)\(a\)](#)
- Regulations excluded by [S.I. 2020/706 art. 7\(3\)\(a\)](#)
- Regulations excluded in part by [S.I. 2016/844 art. 3\(1\)\(a\)](#)
- Regulations modified by [2014 c. 20 Sch. 1 para. 6](#)
- Regulations revoked in part by [S.I. 2016/1154 Sch. 28](#)
- [reg. 12\(1\)\(a\)](#) excluded by [S.I. 2016/1035 art. 7\(9\)](#)
- [reg. 12\(1\)\(a\)](#) excluded by [S.I. 2016/547 art. 3\(1\)\(a\)](#)
- [reg. 12\(1\)\(a\)](#) excluded by [S.I. 2016/684 art. 6\(9\)](#)

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

- Blanket amendment words substituted by [S.I. 2011/1043 art. 3-68-10](#)

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- [Pt. 7 Ch. 1A](#) inserted by [S.I. 2012/630 reg. 9](#)
- [Pt. 7 Ch. 6](#) inserted by [S.I. 2012/630 reg. 11](#)
- [Sch. 1 Pt. 2 Section 1.1 para. 1A](#) inserted by [S.I. 2015/918 reg. 4\(2\)](#)
- [Sch. 1 Pt. 1 para. 3\(g\)](#) and word inserted by [S.I. 2013/390 reg. 19\(4\)\(c\)](#)
- [Sch. 1 Pt. 1 para. 6\(2\)\(a\)](#) omitted by [S.I. 2013/390 reg. 19\(6\)](#)
- [Sch. 1 Pt. 1 para. 3\(f\)](#) word omitted by [S.I. 2013/390 reg. 19\(4\)\(c\)](#)
- [Sch. 1 Pt. 1 para. 2\(2\)\(3\)](#) words omitted by [S.I. 2013/390 reg. 19\(3\)](#)
- [Sch. 1 Pt. 2 s. 1.2\(2\)](#) substituted by [S.I. 2011/2933 reg. 2\(3\)\(d\)](#)
- [Sch. 1 Pt. 2 s. 1.2](#) words inserted by [S.I. 2011/2933 reg. 2\(2\)\(b\)](#)
- [Sch. 1 Pt. 2 s. 1.2](#) words inserted by [S.I. 2011/2933 reg. 2\(3\)\(c\)](#)
- [Sch. 1 Pt. 2 s. 1.2](#) words substituted by [S.I. 2011/2933 reg. 2\(2\)\(a\)](#)
- [Sch. 1 Pt. 2 s. 1.2](#) words substituted by [S.I. 2011/2933 reg. 2\(3\)\(a\)](#)
- [Sch. 1 Pt. 2 s. 1.2](#) words substituted by [S.I. 2011/2933 reg. 2\(3\)\(b\)](#)
- [Sch. 2 para. 2\(6\)](#) added by [S.I. 2016/475 reg. 23\(3\)](#)
- [Sch. 2 para. 5\(b\)\(iii\)](#) inserted by [S.I. 2014/255 reg. 12\(c\)](#)
- [Sch. 2 para. 1\(2\)\(3\)](#) inserted by [S.I. 2014/2852 reg. 9\(a\)\(ii\)](#)
- [Sch. 2 para. 4A](#) inserted by [S.I. 2014/2852 reg. 9\(d\)](#)
- [Sch. 2 para. 5A](#) inserted by [S.I. 2014/2852 reg. 9\(f\)](#)
- [Sch. 2 para. 5B](#) inserted by [S.I. 2016/475 reg. 23\(4\)](#)
- [Sch. 2 para. 6\(2A\)](#) inserted by [S.I. 2016/475 reg. 23\(5\)](#)
- [Sch. 2 Pt. 1 para. 11A](#) inserted by [S.I. 2013/755 Sch. 4 para. 385](#)
- [Sch. 3 Pt. 4](#) added by [S.I. 2016/475 Sch. 1](#)
- [Sch. 3 Pt. 1 Ch. 3 Section 2 para. 16\(2\) Table entry](#) inserted by [S.I. 2012/630 reg. 13\(3\)\(d\)\(ii\)](#)
- [Sch. 3 Pt. 1 Ch. 3 Section 2 para. 23\(2\) Table 1 entry](#) inserted by [S.I. 2012/630 reg. 13\(3\)\(f\)](#)
- [Sch. 3 Pt. 1 Ch. 3 Section 2 para. 24\(2\) Table entry](#) inserted by [S.I. 2012/630 reg. 13\(3\)\(g\)](#)
- [Sch. 3 Pt. 1 Ch. 3 Section 2 para. 25\(2\) Table entry](#) inserted by [S.I. 2012/630 reg. 13\(3\)\(g\)](#)
- [Sch. 3 Pt. 1 Ch. 3 Section 2 para. 30\(2\) Table entry](#) inserted by [S.I. 2012/630 reg. 13\(3\)\(i\)](#)
- [Sch. 3 Pt. 1 Ch. 3 Section 2 para. 27\(4\)](#) inserted by [S.I. 2012/630 reg. 13\(3\)\(h\)\(ii\)](#)

- Sch. 3 Pt. 1 Ch. 2 Section 1 para. 3(d) inserted by [S.I. 2013/390 reg. 45\(a\)](#)
- Sch. 3 Pt. 1 Ch. 6 Section 6.8 para. 1(g) revoked by [S.I. 2011/600 Sch. 3](#) (This amendment not applied to [legislation.gov.uk](#). S.I. 2011/600 is revoked and the provisions revoked by those Regulations are revived by S.I. 2011/2377, reg. 28(1)(2))
- Sch. 3 Pt. 1 Ch. 6 Section 6.8 para. 1(i) revoked by [S.I. 2011/600 Sch. 3](#) (This amendment not applied to [legislation.gov.uk](#). S.I. 2011/600 is revoked and the provisions revoked by those Regulations are revived by S.I. 2011/2377, reg. 28(1)(2))
- Sch. 3 Pt. 1 Ch. 2 Section 2 para. 1(2) Table 2 substituted by [S.I. 2012/630 reg. 13\(2\)\(a\)](#)
- Sch. 3 Pt. 1 Ch. 2 Section 2 para. 8(2) Table substituted by [S.I. 2012/630 reg. 13\(2\)\(b\)](#)
- Sch. 3 Pt. 1 Ch. 2 Section 2 para. 16(4) substituted by [S.I. 2012/630 reg. 13\(2\)\(f\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 11(1) substituted by [S.I. 2012/630 reg. 13\(3\)\(c\)\(i\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 11(3)(f) substituted by [S.I. 2012/630 reg. 13\(3\)\(c\)\(ii\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 16 Title substituted by [S.I. 2012/630 reg. 13\(3\)\(d\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 19 Title substituted by [S.I. 2012/630 reg. 13\(3\)\(e\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 27 Title substituted by [S.I. 2012/630 reg. 13\(3\)\(h\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 27(3)(d) substituted by [S.I. 2012/630 reg. 13\(3\)\(h\)\(i\)](#)
- Sch. 3 Pt. 1 Ch. 4 Section 2 para. 6(2) substituted by [S.I. 2013/390 reg. 45\(b\)\(ii\)](#)
- Sch. 3 Pt. 1 Ch. 3 section 2 para. 1(5) substituted by [S.I. 2015/1360 reg. 7\(3\)\(b\)\(i\)\(bb\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. T22(4) substituted by [S.I. 2011/2377 Sch. 2 para. 17\(7\)\(b\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. T22(4) substituted by [S.I. 2011/600 Sch. 2 para. 54](#) (This amendment not applied to [legislation.gov.uk](#). S.I. 2011/600 is revoked and the amendments made by Sch. 2 thereof are undone by S.I. 2011/2377, reg. 28(1)(3))
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 1(5) substituted by [S.I. 2015/1417 reg. 4\(5\)\(a\)\(ii\)](#)
- Sch. 3 Pt. 1 Ch. 2 Section 2 para. 8(3)(d)(e) substituted for Sch. 3 Pt. 1 Ch. 2 Section 2 para. 8(3)(d) by [S.I. 2012/630 reg. 13\(2\)\(c\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 19(3)(a)(aa) substituted for Sch. 3 Pt. 1 Ch. 3 Section 2 para. 19(3)(a) by [S.I. 2012/630 reg. 13\(3\)\(e\)\(ii\)](#)
- Sch. 3 Pt. 1 Ch. 5 Section 2 para. 1(3)(d)-(f) substituted for Sch. 3 Pt. 1 Ch. 5 Section 2 para. 1(3)(d)(e) by [S.I. 2012/630 reg. 13\(4\)](#)
- Sch. 3 Pt. 1 Ch. 2 Section 2 para. 10(2) Table word substituted by [S.I. 2012/630 reg. 13\(2\)\(d\)\(ii\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 6(2) Table word substituted by [S.I. 2012/630 reg. 13\(3\)\(b\)](#)
- Sch. 3 Pt. 1 Ch. 2 Section 2 para. 10(3)(f) words added by [S.I. 2012/630 reg. 13\(2\)\(e\)](#)
- Sch. 3 Pt. 1 Ch. 2 Section 2 para. 10(2) table words inserted by [S.I. 2012/630 reg. 13\(2\)\(d\)\(i\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 16(1) words inserted by [S.I. 2012/630 reg. 13\(3\)\(d\)\(i\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 19(1) words inserted by [S.I. 2012/630 reg. 13\(3\)\(e\)\(i\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 5(2) Table words substituted by [S.I. 2012/630 reg. 13\(3\)\(a\)\(i\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 5(2) Table words substituted by [S.I. 2012/630 reg. 13\(3\)\(a\)\(ii\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 5(2) Table words substituted by [S.I. 2012/630 reg. 13\(3\)\(a\)\(iii\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 16(3)(a) words substituted by [S.I. 2012/630 reg. 13\(3\)\(d\)\(iii\)](#)

- Sch. 3 Pt. 1 Ch. 4 Section 2 para. 6(1) words substituted by [S.I. 2013/390 reg. 45\(b\)\(i\)](#)
- Sch. 3 Pt. 1 Ch. 4 Section 2 para. 6(4)(a) words substituted by [S.I. 2013/390 reg. 45\(b\)\(iii\)](#)
- Sch. 3 Pt. 1 Ch. 4 Section 2 para. 6(4)(b) words substituted by [S.I. 2013/390 reg. 45\(b\)\(iv\)](#)
- Sch. 3 Pt. 1 Ch. 3 section 2 para. 1(3)(e) words substituted by [S.I. 2015/1360 reg. 7\(3\)\(b\)\(i\)\(aa\)](#)
- Sch. 3 Pt. 1 Ch. 3 section 2 para. 15(2) words substituted by [S.I. 2015/1360 reg. 7\(3\)\(b\)\(ii\)](#)
- Sch. 3 Pt. 1 Ch. 5 section 2 para. 1(2) words substituted by [S.I. 2015/1360 reg. 7\(3\)\(c\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. T13(2) Table words substituted by [S.I. 2011/2377 Sch. 2 para. 17\(6\)](#) (note previous omission of words)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. T22(3)(b) words substituted by [S.I. 2011/2377 Sch. 2 para. 17\(7\)\(a\)](#) (note previous omission of para.)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. T13(2) words substituted by [S.I. 2011/600 Sch. 2 para. 53](#) (This amendment not applied to [legislation.gov.uk](#). [S.I. 2011/600](#) is revoked and the amendments made by Sch. 2 thereof are undone by [S.I. 2011/2377](#), reg. 28(1)(3))
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 1(3)(e) words substituted by [S.I. 2015/1417 reg. 4\(5\)\(a\)\(i\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 11(3)(c) words substituted by [S.I. 2015/1417 reg. 4\(5\)\(b\)](#)
- Sch. 3 Pt. 1 Ch. 3 Section 2 para. 15(2) words substituted by [S.I. 2015/1417 reg. 4\(5\)\(c\)](#)
- Sch. 3 Pt. 1 Ch. 5 Section 2 para. 1(2) words substituted by [S.I. 2015/1417 reg. 4\(6\)](#)
- Sch. 3 Pt. 2 para. 2A inserted by [S.I. 2014/2852 reg. 10\(b\)](#)
- Sch. 3 Pt. 3 para. 4 inserted by [S.I. 2014/255 reg. 13](#)
- Sch. 3 Pt. 3 para. 3A inserted by [S.I. 2014/2852 reg. 11\(b\)](#)
- Sch. 5 para. 9(1A) inserted by [S.I. 2014/255 reg. 14\(b\)\(ii\)](#)
- Sch. 5 Pt. 1 para. 5(1)(e) and word added by [S.I. 2016/475 reg. 25\(3\)\(b\)](#)
- Sch. 5 Pt. 1 para. 5(4)(e) and word added by [S.I. 2016/475 reg. 25\(4\)\(b\)](#)
- Sch. 5 Pt. 1 para. 14(3) added by [S.I. 2016/475 reg. 25\(7\)](#)
- Sch. 5 Pt. 1 para. 20 inserted by [S.I. 2013/390 reg. 46\(7\)](#)
- Sch. 5 Pt. 1 para. 15(3)(aa) inserted by [S.I. 2016/475 reg. 25\(8\)](#)
- Sch. 5 Pt. 1 para. 16(3)(ba)(bb) inserted by [S.I. 2016/475 reg. 25\(9\)](#)
- Sch. 5 Pt. 1 para. 2(1)(b) substituted by [S.I. 2012/630 reg. 14\(c\)](#)
- Sch. 5 Pt. 1 para. 2(2)(3) substituted for Sch. 5 Pt. 1 para. 2(2) by [S.I. 2016/475 reg. 25\(2\)](#)
- Sch. 5 Pt. 1 para. 5(4) word omitted by [S.I. 2016/475 reg. 25\(4\)\(a\)](#)
- Sch. 5 Pt. 1 para. 14(1)(a) words inserted by [S.I. 2016/475 reg. 25\(6\)](#)
- Sch. 5 Pt. 1 para. 5(1)(c) words omitted by [S.I. 2013/390 reg. 46\(2\)](#)
- Sch. 5 Pt. 1 para. 5(5) words omitted by [S.I. 2013/390 reg. 46\(4\)\(b\)](#)
- Sch. 5 Pt. 1 para. 5(4)(d) words substituted by [S.I. 2013/390 reg. 46\(3\)](#)
- Sch. 5 Pt. 1 para. 5(5) words substituted by [S.I. 2013/390 reg. 46\(4\)\(a\)](#)
- Sch. 5 Pt. 1 para. 5(5) words substituted by [S.I. 2013/390 reg. 46\(4\)\(c\)](#)
- Sch. 5 Pt. 1 para. 10(4) words substituted by [S.I. 2013/390 reg. 46\(5\)\(a\)\(i\)](#)
- Sch. 5 Pt. 1 para. 10(4) words substituted by [S.I. 2013/390 reg. 46\(5\)\(a\)\(ii\)](#)
- Sch. 5 Pt. 1 para. 10(4) words substituted by [S.I. 2013/390 reg. 46\(5\)\(a\)\(iii\)](#)
- Sch. 5 Pt. 1 para. 10(5) words substituted by [S.I. 2013/390 reg. 46\(5\)\(b\)](#)
- Sch. 5 Pt. 1 para. 16(3)(d) words substituted by [S.I. 2013/390 reg. 46\(6\)](#)
- Sch. 5 Pt. 1 para. 13(3) words substituted by [S.I. 2016/475 reg. 25\(5\)](#)
- Sch. 7 para. 4(b) omitted by [S.I. 2013/390 reg. 47\(1\)\(d\)](#)
- Sch. 7A inserted by [S.I. 2013/390 reg. 47\(2\)](#)
- Sch. 8 para. 1A inserted by [S.I. 2015/918 reg. 5\(3\)](#)
- Sch. 8 para. 1(1) words inserted by [S.I. 2015/918 reg. 5\(2\)](#)
- Sch. 8A inserted by [S.I. 2015/918 reg. 6](#)

- Sch. 8A para. 11 heading inserted by S.I. 2015/934 reg. 2(a)
- Sch. 8A para. 11 words inserted by S.I. 2015/934 reg. 2(b)
- Sch. 9 para. 3(4)(c) and word inserted by S.I. 2013/390 reg. 49(4)
- Sch. 9 para. 3(3)(b)-(f) substituted for Sch. 9 para. 3(3)(b)(c) by S.I. 2013/390 reg. 49(3)
- Sch. 9 para. 3(2)(a) words omitted by S.I. 2013/390 reg. 49(2)
- Sch. 9A inserted by S.I. 2014/255 reg. 16Sch.
- Sch. 10 para. 5(4)(5) inserted by S.I. 2013/766 reg. 2(3)
- Sch. 13A inserted by S.I. 2013/390 reg. 50(2)
- Sch. 15A inserted by S.I. 2013/390 reg. 52(2)
- Sch. 17A inserted by S.I. 2013/390 reg. 53(2)
- Sch. 18 Pt. 2 para. 2 substituted by S.I. 2016/149 reg. 4
- Sch. 19 para. 3 inserted by S.I. 2014/255 reg. 18
- Sch. 23 Pt. 7 para. 25 Table 4 words inserted by S.I. 2012/630 reg. 16(4)(b)
- Sch. 23 Pt. 7 para. 10(1)(c) words omitted by S.I. 2012/630 reg. 16(4)(a)
- Sch. 23A inserted by S.I. 2015/324 reg. 2(4)Sch.
- Sch. 23A para. 1(1A) inserted by S.I. 2016/475 reg. 28
- Sch. 23ZA inserted by S.I. 2016/475 Sch. 2
- Sch. 24 para. 1(2)(ca) inserted by S.I. 2014/255 reg. 20(b)
- Sch. 26 para. 2 revoked by 2013 c. 32 Sch. 12 para. 30
- reg. 2(6) inserted by S.I. 2013/390 reg. 4(15)
- reg. 8(1)(h)(i) inserted by S.I. 2013/390 reg. 9(1)
- reg. 8(1)(j) added by S.I. 2016/475 reg. 6(1)
- reg. 8(2)(d) added by S.I. 2016/475 reg. 6(2)
- reg. 8(4)(e)(f) inserted by S.I. 2013/390 reg. 9(2)
- reg. 8(4)(g) added by S.I. 2016/475 reg. 6(3)
- reg. 9(g)(h) added by S.I. 2016/475 reg. 7
- reg. 12(6)(7) inserted by S.I. 2013/390 reg. 10
- reg. 17(2)(d) and word inserted by S.I. 2012/630 reg. 4(b)
- reg. 17(2)(ba) inserted by S.I. 2016/475 reg. 9(a)
- reg. 18(1)(aa) inserted by S.I. 2016/475 reg. 10
- reg. 19(b)-(d) substituted for reg. 19(b)(c) by S.I. 2012/630 reg. 5
- reg. 20(5)(c) and word inserted by S.I. 2014/255 reg. 4(b)
- reg. 20(7)(8) added by S.I. 2016/475 reg. 11
- reg. 21(6A) inserted by S.I. 2014/255 reg. 5(a)
- reg. 21(8) inserted by S.I. 2012/630 reg. 7(c)
- reg. 24(1)(d) added by S.I. 2016/475 reg. 13(a)
- reg. 24(1)(ba) inserted by S.I. 2014/255 reg. 6
- reg. 32(1)-(1C) substituted for reg. 32(1)-(1B) by S.I. 2014/255 reg. 8
- reg. 32(1A)(1B) inserted by S.I. 2013/755 Sch. 4 para. 377(3)
- reg. 32(2)(c)(d) inserted by S.I. 2013/390 reg. 13(1)(b)
- reg. 33(6)(aa) inserted by S.I. 2013/755 Sch. 4 para. 378(3)(b)
- reg. 35(2)(r) added by S.I. 2016/475 reg. 15(b)
- reg. 35(2)(ba) inserted by S.I. 2015/918 reg. 3
- reg. 35(2)(ca) inserted by S.I. 2014/255 reg. 9
- reg. 36(3A) inserted by S.I. 2016/475 reg. 16(b)
- reg. 36(4)-(6) substituted for reg. 36(4) by S.I. 2013/390 reg. 15(d)
- reg. 37(2A) inserted by S.I. 2016/475 reg. 17(b)
- reg. 37(3A) inserted by S.I. 2015/1756 reg. 3(a)
- reg. 37(3A) words inserted by S.I. 2016/475 reg. 17(c)
- reg. 37(3B) inserted by S.I. 2016/475 reg. 17(d)
- reg. 37(4)(a)(i)-(v) substituted for reg. 37(4)(a)(i)-(iii) by S.I. 2015/1756 reg. 3(b)(ii)
- reg. 37(7A) inserted by S.I. 2015/1756 reg. 3(c)
- reg. 39(1A) inserted by S.I. 2016/475 reg. 19(b)
- reg. 40(4)(5) inserted by S.I. 2013/390 reg. 16
- reg. 44A inserted by S.I. 2015/324 reg. 2(3)
- reg. 57(6) inserted by S.I. 2015/1756 reg. 5(c)
- reg. 57A inserted by S.I. 2016/475 reg. 21

- reg. 66A66B inserted by [S.I. 2016/475 reg. 22](#)
- reg. 108(2A) inserted by [S.I. 2013/755 Sch. 4 para. 383\(3\)](#)